

DALY, CROWLEY & MOFFORD, LLP**Telephone : 781-401-9988
Facsimile: 781-401-9966****FACSIMILE TRANSMITTAL SHEET**Including this transmittal sheet, document consists of 6 pages.**Date:** September 24, 2001**Time:** 8:48AM**Deliver To:** Examiner Nadav**From:** Ann Keel/Richard Sharkansky**Company:** PTO**Facsimile Number:** 703-308-7722**Telephone Number:** 703-308-8138**MESSAGE**

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SEP 27 2001

TECHNOLOGY CENTER 2800

RE: DOUBLE RECESSED TRANSISTOR

Applicant(s): William E. Hoke et al.

U.S. Application No.: 09/504,660

Filing Date: February 14, 2000

Our Ref No.: RTN2-047PUS (formerly 07206-047001)

Examiner Nadav:

Per your request on Friday, September 21, 2001, attached is a copy of the response to restriction requirement for the above-identified patent application filed on March 15, 2001 with the United States Patent and Trademark Office. If you have any questions, please call me.

STATEMENT OF CONFIDENTIALITY

The documents included with this facsimile transmittal sheet contain information which is confidential and/or privileged. The information is intended to be for the use of the addressee named on this transmittal sheet. If you are not the addressee, note that any disclosure, photocopying, distribution or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone (collect) immediately so that we can arrange for the retrieval of the original documents at no cost to you.

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Client Matter No.:RTN2-047PUS

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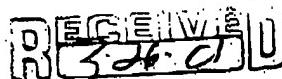
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Appn. No. 09/504,640 Attorney Docket No. RINZ-047PUS By PLMS/awh
 Title: Double-faced Transistor
 Application of: William F. Hoke et al.
 The Following DUE 8/21/01 in the U.S. PTO, was received in the Mail Room on the date stamped herein:

- Mailing by Express Mail (37 CFR 1.10)
 Express Mail Label No. 3
- Cert. of Mailing under 37 CFR 1.8(a)
 Application for Patent incl. (pg.) Specification, (pg.) Claims, (pg.) Drawing(s))
- Declaration Person/ Power of Attorney 3
- Design Patent Application & Declaration 3
- Drawings, 3 Sheet(s), Formal Invention 3
- Verified Statement to establish small entity status
 Continuing prosecution app under 37 CFR 1.53(d)
- Revocation of Power of Attorney/Appointment of New Power of Attorney
 Other RESPONSE TO CERTIFICATION Requirement
 Info. Disc. Statement PTO Form 1649 and 3
 Reference(s) Cited



- PCT Request 101 (sheets)
 Chapter II Demand
 PCT Fee/Calculation/Authorization Sheet
 Response to Correct Defects
 Check for 5 _____ Check # _____
 Check for 5 _____ Check # _____
 Amendment/Response
 Letter to Official Draftsman
 Response to Notice to File Missing Parts
 Notice of Appeal
 Power of Attorney
 Brief (x3)
 Petition for Ext. of Time (x2)
 Transmittal Letter P 90/58/21
 Fee Transmittal Letter
 Assignment
 Maintenance Fee Transmittal

Mailing Date 3/15/01

Appn. No. 09/504,640 Attorney Docket No. KINZ-C47PLS By: EMS/ML
 Title: Double Bassoon Transmission
 Application of: William F. Hoke et al.
 *The Following, DUE 3/21/01 in the U.S. PTO, was received in the Mail Room on the date stamped hereon:

- Mailing by Express Mail (37 CFR 1.10)
 Express Mail Label No.
- Cert. of Mailing under 37 CFR 1.8(b)
- Application for Patent incl. _____ pgs.
 (____ pgs.) Specification, (____ pgs.) Abstract,
 (____ pgs.) Claims (Claims _____)
- Declaration/Petition/Power of Attorney
- Design Patent Application & Declaration & Oath
- Drawings - Sheet(s) _____ Formal _____ Informal
 (File _____)
- Verified Statement to establish small entity status
- Continuing prosecution app under 37 CFR 1.53(d)
- Revocation of Power of Attorney/Appointment of New Power of Attorney
- Other Response to Restriction Key (see part I)
- Info. Disc. Statement, PTO Form 1449 and _____
 Reference(s) Cited
- PCT Request 101 (____ sheets)
- Chapter II Demand
- PCT Fee/Calculation/Authorization Sheet
- Response to Correct Defects
- Check for \$ _____ Check # _____
 Check for \$ _____ Check # _____
- Amendment/Response
- Letter to Official Draftsman
- Response to Notice to File Missing Parts
- Notice of Appeal
- Power of Attorney
- Brief (____ x3)
- Payment for Ext. of Time (x2)
- Transmittal Letter PTO/SB/2, _____
- Fee Transmittal Letter
- Assignment
- Maintenance Fee Transmittal

Mailing Date

3/15/01

Docketed

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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|---|------------------------|-------------------|
| | | Application Number | 09/504,660 |
| | | Filing Date | February 14, 2000 |
| | | First Named Inventor | William E. Hoke |
| | | Group Art Unit | 2811 |
| | | Examiner Name | Ori Nadav |
| Total Number of Pages In This Submission | 3 | Attorney Docket Number | RTN2-047PUS |

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavit/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Return-receipt postcard |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | <input type="checkbox"/> Response to Restriction Requirement |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD. Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks: In the event a petition for extension of time is required by this paper and not otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 5000845 for the cost of such extension. | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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| | | | |
|-------------------------|------------------------------|-----------------|-------------|
| Firm or individual name | Richard M. Sharkansky | Reg. No. 25,800 | SEP 24 2001 |
| Signature | Daly, Crowley & Mofford, LLP | | |
| Date | March 15, 2001 | | |
| TECHNOLOGY CENTER 2000 | | | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

March 15, 2001

| | |
|-----------------------|-----------------|
| Typed or printed name | Anna Maria Keel |
| Signature | Anna Maria Keel |
| Date | March 15, 2001 |

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